



LONDON UNDERGROUND LIMITED

SAFETY BULLETIN

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| LUSEA Ref. No.: N/A | No. 11 – 2013 | Date of Incident: N/A |
| Location: All LU sites | | Date of Issue: 31 July 2013 |
| Author: Catherine Behan | | Expiry Date: 30 January 2014 |
| Authorising HSE GM: Catherine Behan | | Review Date(s): 30 January 2014 |

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Contract Variations: CDM Compliance

Background

The ORR has recently concluded an investigation into an incident associated with construction works procured via a Compensation Event against an existing contract.

They concluded that LU had deficiencies in respect of a number of CDM duties:

- Assessment on the suitability and sufficiency of resources (LU and the suppliers) to deliver the works safely
- Revision of the F10 to include the new works
- Provision of pre-construction information

In respect of the provision of pre-construction information, the ORR reminded LU that where LU holds information relevant to the management of the risks to the work party or other parties who may be affected by the works, the duty is on LU to provide this information, not for the supplier to request or source it.

A failure to notify and consult with the project's HSE Manager regarding the change meant the verification activities, usually applied to ensure that the project has complied with these requirements, had not been initiated.

Action required:

Project teams are reminded that construction work procured as a variation to an existing contract (including Compensation Events) is subject to the same CDM requirements as the initial contract. The Change Control arrangements applied must ensure that resources are reviewed and product documentation is revised and reissued to reflect the new works.

Project teams must ensure that for all contract variations (including Compensation Events) that result in construction work being undertaken they:

- comply with the Pathway requirements relating to CDM and Change Control, to ensure that legal duties are met.
- notify and consult with their HSE Manager

PLEASE COMMUNICATE THIS SAFETY ALERT TO YOUR TEAMS, PROJECTS & SUPPLIERS

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