

## Audit and Assurance Committee



**Date:** 18 June 2014

**Item 4:** Actions List

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### **This paper will be considered in public**

#### **1 Summary**

1.1 This paper informs the Committee of progress against actions agreed at previous meetings.

#### **2 Recommendation**

2.1 **The Committee is asked to note the Actions List.**

#### **List of appendices to this report:**

Appendix 1 – Actions List

#### **List of Background Papers:**

Minutes of previous meetings of the Committee

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## Audit and Assurance Committee Actions List

### Actions from the Last Meeting

Minute No.	Item/Description	Action By	Target Date	Status/note
97/03/14	<p><b>Crossrail Audit Committee</b>            Future reports to include additional information regarding the overall assurance arrangements in respect of Crossrail and a statement from the Director of Internal Audit confirming that there were no issues for concern. KPMG would also include a similar statement in the External Audit reports.</p> <p>Officers to confirm whether Committee Members could receive a copy of the Crossrail Audit Committee minutes.</p>	<p>Clive Walker</p> <p>Secretariat</p>	<p>Ongoing</p> <p>-</p>	<p>Incorporated into report on agenda. Completed.</p> <p>Minutes sent. Completed.</p>
98/03/14	<p><b>Internal Audit Quarter 3 Reports 2013/14</b>            The frequency of the Vehicle Maintenance Schedule in place for Dial a Ride to be checked and confirmed.</p> <p>A follow up report on cyber security to be submitted to a future meeting.</p>	<p>Clive Walker</p> <p>Steve Townsend</p>	<p>18 June</p> <p>6 October</p>	<p>Oral update to be provided at the meeting.</p> <p>Scheduled.</p>
100/03/14	<p><b>Integrated Assurance Plan 2014/15</b>            A report to be submitted to a future meeting on the sustained delivery of TfL's programme of savings and efficiencies.</p>	<p>David Goldstone</p>	<p>8 October meeting</p>	<p>Scheduled.</p>
101/03/14	<p><b>Update: Strategic Risk Management Quarter 2 2013/14</b>            The recruitment and retention of skilled staff be added to the People Strategy risk, and that timescales be included in all future reports.</p>	<p>David Goldstone</p>	<p>18 June</p>	<p>Incorporated into report on agenda. Completed.</p>

Minute No.	Item/Description	Action By	Target Date	Status/note
102/03/14	<p><b>Review of TfL's Independent Reporting Lines for Year Ended 31 December 2013</b></p> <p>Any relevant information arising from CIRAS reports to be included in future Safety, Accessibility and Sustainability Panel reports.</p>	Jill Collis	Ongoing	Reflected in the report to 19 March Panel meeting. Completed.

### Outstanding Actions from Previous Meetings

Minute No.	Item/Description	Action By	Target Date	Status/note
88/12/13	<p><b>National Fraud Initiative 2012/13 Update – Appendix</b></p> <p>That Internal Audit carry out a review of the Blue Badge process and the outcome be reported to the Surface Transport Panel, as appropriate.</p>	Clive Walker	-	In progress and will be reported through quarterly reports.
89/12/13	<p><b>Half Yearly Fraud Report 2013/14</b></p> <p>Officers to investigate penalties for fraudulent Ticket Stop Agencies and whether criminal records could be shared with other ticket shop suppliers such as the National Lottery.</p>	Clive Walker	18 June	Report on agenda. Completed.
54/10/13	<p><b>Update: Strategic Risk Management Q1 2013/14</b></p> <p>An Annual Report on Assurance and Risk would be submitted to the TfL Board, and this report would be submitted to a future meeting of the Committee.</p>	David Goldstone	18 June	Report on agenda. Completed.
55/10/13	<p><b>Anti Fraud and Corruption Strategy and Policy</b></p> <p>Progress with implementation of the strategy would be reported regularly to the Committee as part of the Half Yearly Fraud Report.</p>	Clive Walker	18 June	Report on agenda. Completed.